

JC976 U.S. PTO
03/20/01

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 99-40149-C
First Inventor Zaccaria, et al.
Title Method and Computerized System
Express Mail Label No. EL166390406IIS

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

- ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
- ☐ Applicant claims small entity status.
See 37 CFR 1.27.
- ☒ Specification [Total Pages 45]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
- ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 2]
- Oath or Declaration [Total Pages]
 - ☐ Newly executed (original or copy)
 - ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
- ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

- ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
- Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - ☐ Computer Readable Form (CRF)
 - Specification Sequence Listing on:
 - ☐ CD-ROM or CD-R (2 copies); or
 - ☐ paper
 - ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

- ☐ Assignment Papers (cover sheet & document(s))
- ☐ 37 CFR 3.73(b) Statement of Power of Attorney (when there is an assignee)
- ☐ English Translation Document (if applicable)
- ☐ Information Disclosure Statement (IDS)/PTO-1449
- ☐ Preliminary Amendment
- ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
- ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
- ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No. 09-369,699

Prior application information: Examiner Group Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label [Insert Customer No. or Attach bar code label here] or ☐ Correspondence address below

Name Reed Smith LLP
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City Philadelphia State PA Zip Code 9103
Country USA Telephone 1-215-851-8100 Fax 1-215-851-420

Name (Print/Type) Carl H. Pierse Registration No. (Attorney/Agent) 5,730
Signature [Signature] Date March 20, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

A
JC976 U.S. PTO
09/814682
03/20/01

In re: Patent application of :
Zaccaria, et al. :

Serial No.: Not Yet Assigned : Examiner: Not Yet Assigned

Filed: Concurrently Herewith : Group Art Unit:
Not Yet Assigned

For: Method and Computerized System for Reducing Risk in an Energy Industry

PATENT APPLICATION TRANSMITTAL

Commissioner for Patents
BOX PATENT APPLICATION
Washington, D.C. 20231

This application is a **continuation of prior application Serial No. 09/369,699** which was filed on August 6, 1999.

The following document(s) is/are submitted herewith:

☒ Patent Application

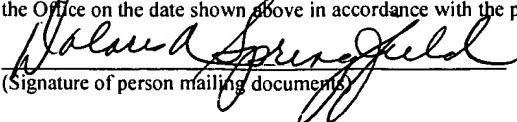
37 pages Description
7 pages Claims
1 pages Abstract
2 page(s) informal drawing(s)

- ☐ Unsigned Declaration and Power of Attorney
☐ Signed Declaration and Power of Attorney
☐ Information Disclosure Statement and _____ references
☐ Assignment and Assignment Recordation sheet.
☐ Applicant is entitled to claim small entity status.
☐ Other (explain)

| Claims | Number Filed | Number Extra | Rate | | Basic Fee Small Entity Status \$355.00 | Other Than Small Entity Status \$710.00 |
|---|--------------|--------------|------------|-----------|---|--|
| Total Claims | 38 - 20 = | 18 | x \$18.00 | x \$ 9.00 | \$ | \$324.00 |
| Independent Claims | 2 - 3 = | 0 | x \$80.00 | x \$40.00 | \$ | |
| Multiple dependent claim(s) (if applicable) | | | + \$270.00 | | \$ | |
| TOTAL OF ABOVE CALCULATIONS = | | | | | \$ | \$1,034.00 |

Certificate of Express Mail (37 CFR 1.10)

I hereby certify that the attached Patent Application, and any document referred to as being attached or included, is being deposited with the "Express Mail Post Office to Addressee" service of the United States Postal Service in an envelope addressed to Commissioner for Patents, BOX PATENT APPLICATION, Washington, D.C. 20231 on 20 March, 2001. The number of the "Express Mail" mailing label (EL166390406US) has been placed on the accompanying correspondence prior to mailing. It is therefore respectfully requested that the attached be considered as having been filed in the Office on the date shown above in accordance with the provisions of 37 C.F.R. 1.10.


(Signature of person mailing documents)

Dolores A. Springfield
(Type name of person mailing documents)

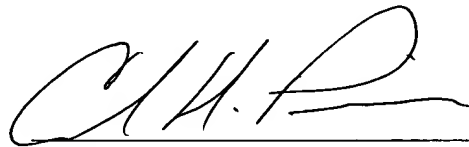
FO0220-2097860

- ☒ A check in the amount of \$ 1,034.00 to cover the above fees is enclosed.
- ☐ Please charge my Deposit Account No. 18-0586 in the amount of _____ to cover the above fee. A duplicate copy of this sheet is enclosed.
- ☐ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 18-0586. A duplicate of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:

Respectfully submitted,

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